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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/525,752 ✓
	Filing Date	February 25, 2005
	First Named Inventor	Jean-Francois Stumbe
	Art Unit	N/A
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	12810-00019-US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1449 Form w/citations
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	CONNOLLY BOVE LODGE & HUTZ LLP		
Signature			
Printed name	Matthew J. Mason		
Date	May 25, 2005	Reg. No.	44,904



Docket No.: 12810-00019-US
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Jean-Francois Stumbe et al.

Application No.: 10/525,752

Confirmation No.: N/A

Filed: February 25, 2005

Art Unit: N/A

For: METHOD FOR THE PRODUCTION OF
HYPERBRANCHED WATER-SOLUBLE
POLYESTERS

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed within three months of the date of entry of the national stage of an international application as set forth in 37 CFR 1.491 (37 CFR 1.97(b)(2)).

A concise explanation of relevance of the items listed on form PTO/SB/08 is given.

EP-0 279 303-A2 relates to radiation-curable catalysts. A copy of the equivalent U.S. patent (5,096,938) is enclosed.

EP-0 383 118-A2 relates to a process for the preparation of plastics, including sheetlike structures. An English-language copy of the equivalent Canadian patent (2010012) is enclosed.

WO 03/093343-A1 relates to a method for producing highly functional, hyperbranched polyesters. An English-language summary and abstract translation of the priority document (DE 10219508) is enclosed.

WO 03/054204-A1 relates to a method for producing highly functional, hyper branched polyester by means of enzymatic esterification. An English-language abstract translation of the priority document (DE 101 63-163-A1) is enclosed.

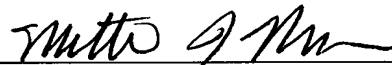
JP 2001-316466 relates to a polyester production method. An English language abstract is enclosed.

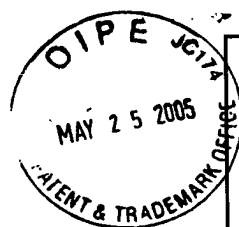
Except as noted above, a copy of each reference on the PTO/SB/08 is attached.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 12810-00019-US.

Dated: 5/25/2005

Respectfully submitted,

By: 
Matthew J. Mason, Reg. No. 44,904
CONNOLLY BOVE LODGE
& HUTZ LLP
1990 M Street, N.W., Suite 800
Washington, DC 20036
(202) 331-7111
(202) 293-6229 (Fax)
Attorney for Applicants



Substitute for form 1449A/B/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/525,752	
			Filing Date	February 25, 2005	
			First Named Inventor	Jean-Francois Stumbe	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	12810-00019-US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-2,807,606	09-24-1957	Lincoln	
	AB	US-4,983,712	01-08-1991	Meixner et al.	
	AC	US-5,280,054	01-18-1994	Sakai et al.	
	AD	US-5,096,938	03-17-1992	Beck et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	EP-0 279 303-A2	08-24-1988	BASF AG		
	BB	EP-0 383 118-A2	08-22-1990	Bayer AG		
	BC	GB-2 259 514-A	03-17-1993	Mitsubishi et al.		
	BD	WO-9317060-A1	09-02-1992	Perstorp AB		
	BE	WO-03093343-A	11-13-2003	BASF AG		
	BF	CA-2010012	08-16-1990	Mitsubishi		
	BG	DE-10163163-A	12-20-2001	BASF AG		
	BH	DE-10219508-A1	11-13-2003	BASF AG		
	BI	JP-2001316466	11-13-2001	Toyo Ink Mfg Co Ltd		
	BJ	WO-03054204-A1	07-03-2003	BASF AG		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Frey et al., Controlling the Growth of Polymer Trees: Concepts and Perspectives for Hyperbranched Polymers. Chem. Eur. J. 2000. 6(14):2499-2506. Weinheim, Deutschland.	
	CB	International Search Report: PCT/EP03/08088. 11/17/03.	
	CC	Faber, Biotransformation in Organic Chemistry: Ch. 3.2 Immobilization, 1997, Vol. 3, pp. 345-356.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
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